

LB Hackney Pension Fund - Breaches Register 2019-20

Quarte	Date	Category (e.g. administration, contributions, funding, investment, criminal activity)	Employer / Org	Description of breach	Cause of breach	Possible effect of breach and wider implications	Reaction of relevant parties to breach	Traffic Light System	Reported / Not reported (with justification if not reported dates)	Outcome of report and/or investigations	Outstanding actions
Q2	Jul-19	Contributions	Brook House Sixth Form College	HK221 received late	Late supporting documents	Late supporting documents could affect member queries & ABS	Employer has been warned of breach	G	Not reported	HK221 received late on 2008/19. First offence for the year, an email has been sent to the employer reminding them of the deadlines. No levy raised.	Monitor going forward
Q2	Aug-19	Contributions	Hackney Learning Trust	Contributions payment received late	Employer has missed the prescribed period for contributions	Late contributions could affect member queries & ABS	Employer notified of regulations to provide contributions on time and warned of breach	G	Not reported	Contributions payment received late on 2008/19. First offence, issued with a warning. No levy raised.	Monitor going forward
Q2	Aug-19	Contributions	Lubavitch Multi Academy Trust	Contributions payment received late	Employer has missed the prescribed period for contributions	Late contributions could affect member queries & ABS	Employer notified of regulations to provide contributions on time and warned of breach	G	Not reported	Contributions payment received late on 2008/19. First offence, issued with a warning. No levy raised.	Monitor going forward
Q2	Sep-19	Contributions	Hackney (LBH)	HK221 received late	Late supporting documents	Late supporting documents could affect member queries & ABS	Employer has been warned of breach	G	Not reported	HK221 received late. First offence for the year, an email has been sent to the employer reminding them of the deadlines. No levy raised.	Monitor going forward
Q2	Sep-19	Contributions	De Beauvoir Primary School	HK221 received late	Late supporting documents	Late supporting documents could affect member queries & ABS	Employer has been warned of breach	G	Not reported	HK221 received late. New payroll provider from Sept 2019. First offence for the year, an email has been sent to the employer reminding them of the deadlines. No levy raised.	Monitor going forward
Q2	Sep-19	Contributions	Gainsborough Primary School	HK221 received late	Late supporting documents	Late supporting documents could affect member queries & ABS	Employer has been warned of breach	G	Not reported	HK221 received late. New payroll provider from Sept 2019. First offence for the year, an email has been sent to the employer reminding them of the deadlines. No levy raised.	Monitor going forward
Q2	Sep-19	Contributions	Morningside Primary School	HK221 received late	Late supporting documents	Late supporting documents could affect member queries & ABS	Employer has been warned of breach	G	Not reported	HK221 received late. New payroll provider from Sept 2019. First offence for the year, an email has been sent to the employer reminding them of the deadlines. No levy raised.	Monitor going forward
Q2	Sep-19	Contributions	St John the Baptist Primary School	HK221 received late	Late supporting documents	Late supporting documents could affect member queries & ABS	Employer has been warned of breach	G	Not reported	HK221 received late. New payroll provider from Sept 2019. First offence for the year, an email has been sent to the employer reminding them of the deadlines. No levy raised.	Monitor going forward
Q2	Sep-19	Contributions	St Matthias Primary School	HK221 received late	Late supporting documents	Late supporting documents could affect member queries & ABS	Employer has been warned of breach	G	Not reported	HK221 received late. New payroll provider from Sept 2019. First offence for the year, an email has been sent to the employer reminding them of the deadlines. No levy raised.	Monitor going forward